



A Commission Management Portal Designed to Increase Your Profitability

The Commission Management Portal or CMP for commission sharing arrangements and (CSAs) administration allows firms to offer programs to their clients through a web-based portal. The CMP can help automate invoicing & payment requests online for CSAs credits and payments to third party vendors.

The CMP is a web-based application available for brokerage firms and their clients. The CMP can be white labeled and customized with the corporate theme.

Commission Sharing Arrangements (CSAs)

The Commission Management Portal (CMP) helps brokerage firm's clients to track commissions credited and payments made to qualified vendors. The CMP keep track of commissions received and bills paid on behalf of clients. The platform supports sophisticated CSAs plans and generates statements to clients and sends email alerts when an item is awaiting approval.

The CMP will update daily for commission credit generated from trading and payments made to vendors on behalf of the client. Firm's clients will have secure online access to daily, weekly and monthly trade activity, credit and payment history and account balances.



OMEX

Order Management & Execution Systems

Competitive advantages

- Online statements and invoice approval system allows review and approval of invoices
- Improve transparency and customer satisfaction
- Automate and expedite full-cycle billing activities
- Calculate and generate bills
- Manage payments and collections
- Daily reporting of commission activity to support best execution.
- Organizes and simplifies CSAs tracking and commission. No more spreadsheets.
- Enables you to efficiently track commissions by providing current brokerage activity.
- Seamless links to OMEX trading apps for bundled service payment management and reconciliations.
- NON CSA verse CSA accounts.

Advantages to the buy side

The CMP enable investment advisors and hedge funds to gain control and transparency over their trading and research commissions. Through CMP, firm's clients can easily implement a CSAs or commission recapture programs.

- Online statement and invoice approval system allows review and approval of invoices
- Provide accurate tracking of commission targets and compliance for regulator reporting.
- Centralize commission management in one application for easier management
- Historical reporting and comparisons provide a more strategic view of commissions.
- Daily reporting of reconciliation exceptions by broker, showing missing trades or differences between sides for gross and research commissions.
- OMEX portal provides buy side firms individual access to track trading activity and CSA balance history.



More information on
www.omexsystems.com

“ We have used many different systems for execution and back office operations, OMEX is a “turnkey” solution for broker dealers that combines end -to -end functionalities and ease of use to run our business.

The programmers and technical support are among the best I have dealt with in my career. We use OMEX to run our trading, OMS, invoicing and billing functions. It is easy to use, and contains all the functionality we need to provide quality customer service, efficient execution and back end reporting and management services. ”

*David J Beth, President / COO
Wallach Beth Capital LLC, Member FINRA/SIPC
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CSA application and usage

The CMP is a web based portal and is designed to help offset and manage the costs of purchasing approved research products and services using "CSAs." CSAs are brokerage commissions that are used to purchase those research products and services that support their business.

As defined under the Securities Exchange Act of 1934 section 28(e), a predetermined portion of commission dollars can be used to pay research-related costs. Expenses are paid on the client's behalf to vendors who can provide a wide range of information services, such as fundamental, technical and/or quantitative analysis; portfolio management and valuation including asset allocation; economic forecasting; and news and quotes and other qualified services.

Commission Sharing Arrangements (CSAs)

With Commission Sharing Arrangements (CSAs), the client can direct commissions and fees to specific brokers while executing orders with one broker. The CSAs program is designed for clients who want to consolidate multiple trading relationships and increase anonymity and confidentiality by utilizing OMEX web portal- The CMP to pay for research and other services provided by other brokers.

- Assists with compliance, client trade and balance management, CSAs payments, and reconciliation, routing and approval workflow.
- Approve invoices, issuance of CSAs or third-party payments, request new research brokers, download trades, and the ability to add, modify, remove research providers.
- Track payments to research brokers based on client approval of invoices and available credits.
- Manage commission rules by client, sub-account, market, trade type and metrics, etc.
- View historical records of all CSA and NON-CSA accounts easily.



ABOUT OMEX SYSTEMS

OMEX provides order management and execution technology, commission management and multi clearing solutions to emerging and mid-tier firms. It delivers a high level of functionality and power to trading desks. Through the OMEX trading solutions, we provide tools and services for trading firms to handle increasingly complex trade execution and back office challenges so firms can take advantage of the new business opportunities presented in fast moving global markets.

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